

Measurement Reconstruction vs. Trajectory Reconstruction: Von Weizsäcker between Hermann and Popper in the Early Reception of Quantum Mechanics

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Abstract

From early on, the uncertainty relations were understood to preclude exact predictions of trajectories, while apparently still allowing their retrospective reconstruction with arbitrarily precise position and momentum values. In his Chicago lectures, Heisenberg treated the physical reality of such reconstructed trajectories as a ‘matter of taste’. In 1934, Popper seized on this concession and proposed a thought experiment that, by using conservation laws, sought to turn a sharp *trajectory reconstruction* into an exact trajectory prediction. Heisenberg and his assistants sharply criticized the proposal. This paper argues that, in early 1935, Hermann, drawing on a structurally similar thought experiment, identified Popper’s deeper confusion between context-independent trajectory reconstruction and context-dependent *measurement reconstruction*. It shows that the twenty-two-year-old von Weizsäcker, then Heisenberg’s assistant, played a unique role both in criticizing Popper’s arrangement and in providing the thought experiment on which Hermann relied. The paper concludes that, on the eve of the EPR debate, the Hermann–Popper controversy came close to raising the question of whether one may legitimately combine context-dependent attributions of position and momentum into a description of the underlying reality.

Keywords: Karl Popper, Grete Hermann, history of quantum mechanics, complementarity, thought experiments

Introduction

The status of particles’ past trajectories proved to be a significant point of contention in the early reception of quantum mechanics. In a letter to his students, Paul Ehrenfest reported an argument that Bohr had used in his discussions with Einstein at the 1927

Solvay conference (Ehrenfest to Goudsmit, Uhlenbeck, and Dieke, Nov. 3, 1927).¹ Bohr conceded that one may determine the position of a freely moving body at successive times and retrospectively calculate its intermediate velocity, and hence its momentum, with arbitrary accuracy. The uncertainty relations therefore appear to be violated. This, however, Bohr continued, is a misunderstanding. The momentum at the intermediate time has only been ‘reconstructed’, not actually ‘measured’ (Ehrenfest to Goudsmit, Uhlenbeck, and Dieke, Nov. 3, 1927). As Bohr (1928, 583) put it in the published Como lecture, the calculation of the particle’s velocity between the two position measurements is a mere ‘idealization’ from which no meaningful, testable predictions can be derived.

On page 15 of the German edition of his Chicago lectures, Heisenberg (1930, 15) noted in passing that the decision whether to believe in the physical reality of such calculations concerning past trajectories was, after all, a ‘matter of taste’ (*Geschmacksfrage*). Heisenberg probably did not anticipate that this cursory remark would become controversial. Schlick (1931) was the first to object on philosophical grounds, around the turn of 1931. If such a reconstruction of the past cannot in principle be subjected to possible verification through a testable prediction, then the reality of past trajectories is not a question to be answered as a ‘matter of taste’: the question itself is meaningless. Shortly after reading the draft of Schlick’s paper,² during a visit to Caltech, Einstein coauthored a short note in which he pressed the issue on physical grounds (Einstein et al, 1931). In their two-particle thought experiment, Einstein and his coauthors argued that an exact reconstruction of the past motion of one particle would make it possible, by appealing to the conservation of energy and the geometry of the setup, to predict in advance both the energy and the arrival time of the second particle. This would violate the uncertainty relations. ETP therefore concluded that any such exact reconstruction of the past trajectory must be forbidden.

As Ehrenfest pointed out to Bohr (Ehrenfest to Bohr, Jul. 9, 1931, AHQP/BSC 18), Einstein’s thought experiment expressed a deeper concern:³ by virtue of the uncertainty relations, the later experimental choice seemed to determine retroactively whether the distant emitted particle possessed a definite energy or a definite time of emission (see also Einstein, 1932). Schrödinger’s notes from this period,⁴ written after a lecture by Einstein in Berlin, record the same interpretation. Following a talk in Leiden in November 1931, Ehrenfest may have prompted Einstein to reformulate the argument in terms of position and momentum (Einstein to Ehrenfest, Apr. 5, 1932; Albert Einstein Archives, 10-231). According to Rosenfeld’s recollection, Einstein presented a version of this argument at a talk in Brussels in 1933 (Rosenfeld, 1967, 127–28). If Rosenfeld’s recollection is trustworthy, Einstein had already developed something close to the EPR argument before his permanent departure for the United States in October 1933.

By that time, the conceptual debate over quantum mechanics had begun to spread beyond quantum physicists and into the philosophical discussions of the German-speaking world. This broader reception was shaped by an obvious philosophical reference point: Kant’s claim that causality is not an *a posteriori* contingent regularity discovered by experience, but an *a priori* necessary condition of the very possibility of

¹Cited in Kalckar, 1985, 415–418, tr. in 415–418.

²See Einstein to Schlick, Nov. 28, 1930; Albert Einstein Archives, 21-603.

³See Bacciagaluppi and Crull, 2024, Ch. 1 for more detail.

⁴Trans. in Bacciagaluppi and Crull, 2024, 153–154.

scientific experience. It is therefore not surprising that the philosophical discussion of the new theory took the form of the ‘causality debate’ (Mehra and Rechenberg, 2001, 2.VI.1). The question was not merely whether quantum mechanics required a revision of classical mechanics, but whether it had refuted the principle of causality itself (Bergmann, 1929; Frank, 1932). The uncertainty relations challenged its validity by blocking epistemic access to a particle’s future trajectory. However, their apparent failure to prohibit the reconstruction of past trajectories raised a further question regarding their ontological status.

In 1933, Hermann (1933) was among the first to enter the debate over causality from the standpoint of the Kantian-Friesian philosophy revived by Leonard Nelson (Soler, 2017). Hermann initially sought to preserve the possibility of a deterministic completion of quantum mechanics. Her encounter with Heisenberg’s group⁵ and her stay at Leipzig in 1934 proved decisive in shifting her point of view. In particular, Heisenberg’s assistant Carl Friedrich von Weizsäcker introduced her to his version of Heisenberg’s (1927) γ -ray microscope. Weizsäcker’s (1931) treatment suggested that in quantum mechanics retroactive inferences are licensed only within definite experimental arrangements: the electron’s position can be inferred from the registration of the scattered photon only when the light is brought to an image plane, whereas a focal plane arrangement yields information about the electron’s momentum (Hermann to Bünning, May 23, 1934).⁶ In this sense, Hermann (1935a) concluded, quantum mechanics does not violate the principle of causality as such; it invalidates only its classical formulation in terms of prediction. Causality is preserved insofar as it allows for *measurement reconstruction*: from a registered mark on a scintillating screen, through the apparatus, back to the definite value of a quantity.

Popper entered the causality debate on quantum mechanics around the same time, in spring 1934, while completing *Logik der Forschung* (Hacohen, 2010, 237–38; see also [citation removed]). He aimed to interpret the indeterminacy relations as statistical dispersion relations and therefore denied that they set an intrinsic limit to the precision of measurements performed on an individual particle. After discussing the matter with Viennese physicists, especially Pauli’s assistant Victor Weisskopf, Popper (1934a) devised a thought experiment also based on an electron–photon collision. In a manner not dissimilar to ETP (but with the opposite goal), Popper argues that if the electron’s past path could be reconstructed from a momentum measurement followed by a position measurement, then conservation laws might be used to predict the future position-cum-momentum path of a single photon with arbitrary precision. Popper’s argument thus relies on the possibility of *trajectory reconstructions*, which Heisenberg’s ‘matter of taste’ remark seemed to leave open, and turns this possibility into the basis for trajectory predictions. Popper aimed to show that the reality of particle trajectories cannot be denied, even if quantum mechanics provides only statistical laws for them. The principle of causality is retained as a postulate: the requirement never to abandon the search for non-statistical laws describing those paths (Popper, 1935a, §78).

Popper and Hermann were born roughly a year apart and shared a similar Kant-Fries-Nelson philosophical background (Milkov, 2012). They were both marginal figures,

⁵Weizsäcker to Hermann, Dec. 17, 1933, Hermann to Weizsäcker, Jan. 14, 1934; Weizsäcker to Hermann, Jan. 30, 1934; Hermann to Heisenberg, Feb. 9, 1934; Herrmann, 2019, Ab. III, Br. 3,4,6,7.

⁶Archive of the Friedrich Ebert Foundation.

with little academic track record, although Hermann could rely on much more solid mathematical training. Both entered the causality debate around the same time, and they even met in person at the Eighth International Congress of Philosophy, held in Prague in 1934, while drafting their reflections on the new quantum mechanics. Yet they arrived at opposite conclusions. The opposition is especially sharp because their reflections centered on two thought experiments sharing certain structural similarities. Both Hermann and Popper focused on arrangements in which an electron and a photon collide, so that a quantity of one system can be inferred from a measurement performed on the other through conservation laws. Yet they put this common structure to divergent uses.

For Hermann, the point of the thought experiment is to show that quantum mechanics requires the *reconstruction of the measurement process*, but that this reconstruction depends on the measurement or observational context (*Beobachtungszusammenhang*):⁷ After an electron–photon collision, once the apparatus is configured to measure either the position or the momentum of the photon, one can determine either the position or the momentum of the electron, but cannot combine both into a complete state attribution at a given instant. For Popper, by contrast, the point of the thought experiment is to exploit the possibility of *reconstructing the particle trajectory* in order to predict a future trajectory: after an electron–photon collision, if one can reconstruct the past position-cum-momentum path of the electron with arbitrary precision, then, via momentum conservation, one can predict both the future position and momentum of the photon, which the quantum formalism describes only statistically.

The twenty-two-year-old von Weizsäcker, as Heisenberg’s assistant (Cassidy, 2015), came to occupy a central mediating position in both interpretations of quantum mechanics. As the more philosophically inclined member of the Leipzig group, he became Hermann’s main discussion partner, suggesting his version of the microscope thought experiment, which became her tool for analyzing the contextual conditions of measurement. On Popper’s side, von Weizsäcker was the central figure in the Leipzig critical response to Popper’s proposed thought experiment against the uncertainty relations in late 1934. It was von Weizsäcker who asked Hermann to review *Logik der Forschung*, which had just appeared at the turn of 1935.

For this reason, in the final version of her ‘Die naturphilosophischen Grundlagen der Quantenmechanik’, finished in March, Hermann included a criticism of Popper’s interpretation of quantum mechanics that she also developed in her review (Hermann, 1935b). Heisenberg and his assistants had dismantled, one after another, each version of the thought experiment that Popper submitted to them. Hermann tried to get to the heart of the matter. Popper relied on what Heisenberg had unwisely conceded: that the reality of particles’ past trajectories might be treated as a ‘matter of taste’. For Hermann, by contrast, the reconstruction of such trajectories was not physically meaningful as a ‘matter of context’. *Trajectory reconstructions* are not legitimate within the quantum-mechanical formalism because they combine inferences licensed by different and mutually exclusive *measurement reconstructions*.

⁷On Hermann’s notion of ‘observational context’, see Crull, 2017.

With the exception of some insightful observations in an otherwise wide-ranging paper by Mélanie [Frappier \(2017\)](#), the Popper–Hermann debate has not been systematically examined, nor has von Weizsäcker’s role been fully appreciated. This paper provides a detailed analysis of this episode with the goal of shedding light on the early reception of quantum mechanics among philosophers. Popper and Hermann can be understood as proposing two ways of preserving *causality* after quantum mechanics: Popper through the reconstruction of the particle’s path, Hermann through the reconstruction of the measurement context. Their thought experiments were presented shortly before Einstein and collaborators published the EPR thought experiment in May 1935 ([Einstein et al, 1935](#)). Each anticipated its structure in a different way ([Jammer, 1974](#), 176–181). Both involved correlations between two systems, the use of conservation laws, and the possibility of inferring a quantity of one system by measuring another. With the appearance of Einstein’s 1935 paper, however, the center of gravity of the discussion shifted. The EPR discussion showed that the underlying issue was deeper and more general. Hermann and Popper discussed context-free ‘trajectories’ under the heading of ‘causality’. In retrospect, however, their disagreement may be seen as an early analogue of the dispute later raised by EPR over whether attributions of position and momentum can be combined into a unified description of an underlying *reality*.

In correspondence, Einstein managed to convince Popper that his thought experiment was flawed (Einstein to Popper, Sep. 11, 1935; Albert Einstein Archives, 19-130). Popper did not return to quantum mechanics in a sustained way until the late 1950s ([Del Santo, 2019](#)), when he began to suggest that the EPR setup could replace his original arrangement. Hermann’s thought experiment, by contrast, may be regarded as one of the earliest philosophical articulations of the line of response later developed in Bohr’s and Heisenberg’s reactions to EPR ([Bacciagaluppi and Crull, 2024](#), sec. 4.4). Popper’s pronouncements on quantum mechanics, dismissed by the Leipzig group as the work of a physics *ignoramus*, became part of the oeuvre of a philosopher whose reputation grew after the war. Hermann’s philosophical framework ([Reichenberger, 2026](#)), by contrast, did not survive as a compelling general philosophy of science. Although taken very seriously in Leipzig, her perceptive and technically informed interpretation of quantum mechanics faded along with that framework, only to be rediscovered in recent years ([Crull and Bacciagaluppi, 2017](#); also [Crull, 2022](#); [Cuffaro, 2022](#); [Reichenberger, 2026](#)). The situation has now been reversed. Popper’s challenge to orthodox quantum theory is now widely regarded as ultimately resting on a misreading of the ‘Copenhagen interpretation’ ([Howard, 2012](#)), whereas Hermann’s interpretation of quantum mechanics has received renewed attention as one of its most sophisticated and coherent articulations ([Bacciagaluppi, 2025](#)).⁸

1 Popper’s Thought Experiment and von Weizsäcker’s Refutation

In November 1934 Arnold Berliner, the editor of *Die Naturwissenschaften*, sent Heisenberg the galley proofs of a short note on the uncertainty relations drafted by a

⁸It is no coincidence that the recent Oxford Handbook on the Interpretations of Quantum Mechanics ([Freire et al, 2022](#)) devotes an entire chapter to Hermann ([Crull, 2022](#)), whereas Popper is barely mentioned.

then-unknown Viennese philosopher. The note proposed a thought experiment meant to show that Heisenberg’s inequalities

$$\Delta p_x \cdot \Delta x \geq \frac{h}{4\pi}$$

could not simply be interpreted as limits on the accuracy of all possible measurements. Popper’s starting point is the distinction between measurements usable for testable predictions and ‘non-predictive’ measurements (*nichtprognostische Messungen*). The usual thought experiments supporting the uncertainty relations, he claims, show only that certain more accurate measurements cannot be used for subsequent predictions. They do not show, without further argument, that every measurement of position and momentum is subject to the same restriction (Popper, 1934a).

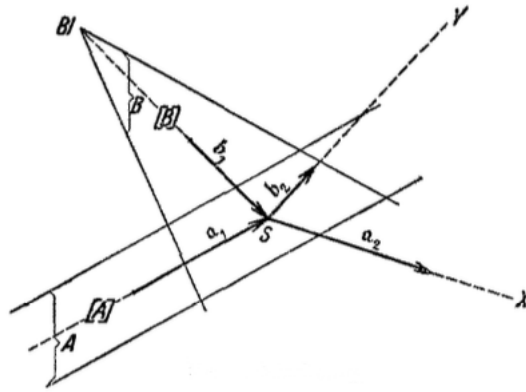
1.1 Popper’s Thought Experiment

The history of Popper’s thought experiment has been reconstructed in detail elsewhere [citation removed]. The following sections revisit only those aspects that are necessary to clarify von Weizsäcker’s reply. Popper’s proposed experimental arrangement (fig. 1) consists of two crossed beams. An electron beam A and a light, X-ray, or γ -ray beam B intersect at S . The beam A is assumed to be a monochromatic parallel beam, and hence a plane wave. The beam B passes through a narrow slit at B_1 and forms monochromatic spherical waves. Popper therefore treats both A and B as ‘pure cases’ (*reine Fälle*). He then considers a “mental” or “imagined” selection of two narrow partial beams $[A]$ and $[B]$ intersecting at S . These partial beams are not technically isolated from the larger beams by diaphragms or other devices, but are selected only in thought.

The momentum $\mathbf{a}_1$ of the electrons in A is known, as is the magnitude $|\mathbf{b}_1|$ of the momentum of the light quanta in B . Hence, for the two ‘mentally’ selected partial beams $[A]$ and $[B]$, Popper assumes that the momentum vectors $\mathbf{a}_1$ and $\mathbf{b}_1$ before a possible collision at S are known. One then considers those electrons in $[A]$ that, after the collision, travel in the direction SX . In the Compton effect, a high-energy light quantum transfers part of its momentum to an electron. If one knows the electron’s momentum $\mathbf{a}_2$ after the collision, the momentum vector $\mathbf{b}_2$ of the light quantum in $[B]$ that collided with it at S can be determined from momentum conservation: $\mathbf{b}_2 = (\mathbf{a}_1 + \mathbf{b}_1) - \mathbf{a}_2$.

To determine $\mathbf{a}_2$, Popper places a registering apparatus at X . In the 1934 note, he describes it as “an appropriately constructed point counter” (Popper, 1934a, 807). The term ‘tip’ or ‘point counter’ (*Spitzenzähler*) usually designates a Geiger-type counter that provides a relatively sharp practical determination of the place and time of a particle’s passage.⁹ Popper, however, gives no technical details and assumes that an appropriately modified device could register both the magnitude of the momentum of the electrons arriving from the direction SX and their time of arrival. For each registered value of $|\mathbf{a}_2|$, Popper claims that one can reconstruct the electron’s trajectory and determine the collision point S , which varies along the line SX with $|\mathbf{a}_2|$. Together with the registered arrival time, this reconstruction also determines the time of the

⁹See below section 3.2.



individual particles appear possible, even though the statistical interpretation of quantum mechanics remains untouched.

On November 23, Heisenberg informed Popper that, at Berliner's request, he and his assistant von Weizsäcker had discussed the note. They then sent Berliner von Weizsäcker's brief rejoinder and asked him to forward it to Popper (Heisenberg to Popper, Nov. 23, 1934; Karl Popper Archives 305-32). First, von Weizsäcker dismisses the modified tip counter as a suitable device for measuring momentum at X and suggests a scattering method instead (Weizsäcker, 1934, 808). By measuring the Doppler frequency shift $\delta\nu$ of a light quantum scattered by the electron at X , one could infer the electron's momentum from energy and momentum conservation. The momentum measurement could then be followed by a tip counter and a clock mechanism recording the electron's position and arrival time. With this arrangement, von Weizsäcker concludes, the electron's 'trajectory' can be reconstructed only for the interval *between* the completion of the momentum measurement and the subsequent registration of position and time; it cannot be extended to the interval *before* the momentum measurement.

The difficulty is that measuring momentum by the Doppler effect requires determining the frequency of the scattered radiation with an uncertainty $\Delta\nu$ over a finite observation time Δt (Weizsäcker, 1934, 808). A highly precise frequency determination requires observing many oscillation periods, making the time of the scattering interaction correspondingly uncertain. Greater precision in the momentum measurement therefore entails less precision in determining when that measurement occurred. Because the electron's velocity changes during the scattering process, its mean velocity over the duration of the momentum measurement is not exactly known. Consequently, even if its position after the momentum measurement is determined exactly, its position before that measurement cannot be inferred with arbitrary accuracy. The collision time at S therefore remains uncertain, and the subsequent trajectory of the corresponding B -particle cannot be predicted with arbitrary precision. Von Weizsäcker concludes:

This trajectory, however, is *in principle* uncontrollable. It is valid only for the time interval between the end of the momentum measurement and the beginning of the position measurement, during which the particle has no interaction whatsoever with its surroundings, and it cannot be extended into the period before the momentum measurement, since the latter itself destroys the knowledge of the position in accordance with the uncertainty relation. [...] Thus, even if the position after the momentum measurement is exactly known, one still cannot infer the position *before* the momentum measurement with an accuracy greater than the error $h/4\pi\Delta p$. That is, our knowledge of the trajectory of particle A before the momentum measurement at X , and thus also of the trajectory of particle B after the collision at S , is in accordance with the uncertainty relation. (Weizsäcker, 1934, 808)

Von Weizsäcker concedes that this demonstration applies only to the *specific* experimental arrangement he has described. Still, he remains confident that the same result would be obtained whatever other momentum-measurement arrangement was deployed at X . In his view, Popper fails to distinguish "between 'non-predictive measurements' and experimentally verifiable measurements concerning the past" (Weizsäcker, 1934, 808).

2 The Popper–von Weizsäcker Correspondence on Popper’s Thought Experiment

Popper replied on November 26, feeling that he had not been taken seriously. In particular, he was surprised that von Weizsäcker denied the possibility of reconstructing past trajectories, given that, on p. 15 of his textbook, Heisenberg (1930) had explicitly conceded that belief in them was a ‘matter of taste’. Drawing on the fuller analysis he had provided in Appendix VI of *Logik der Forschung*, which he attached to the letter (Popper to Heisenberg, Nov. 26, 1934; Karl Popper Archives 305-52), Popper argued that the past trajectory of a particle could be determined by three kinds of non-predictive measurement: (a) two position measurements; (b) a momentum measurement followed by a position measurement; (c) a position measurement followed by a momentum measurement. Von Weizsäcker’s considerations applied to (a) and (c): in these cases, the trajectory could indeed be reconstructed only for the interval between the measurements. Case (b), Popper insisted, is different:

This line of reasoning, as far as I can see, is not entirely correct in one case: case (b), namely, a position measurement preceded by a momentum measurement. Admittedly, if the momentum measurement is performed here (cf. Mr. von Weizsäcker’s note) by irradiating an individual particle with light, then it does indeed ‘disturb’ the position, and once again we can carry out the calculation only for the interval between the momentum measurement and the subsequent position measurement. The situation is different, however, if the momentum measurement consists in a momentum selection, for example, in the case of a light beam, by means of a filter, or, in the case of an electron beam, by means of an electric field perpendicular to the direction of the beam (an electron-velocity selector). In this case, we can not only calculate the exact ‘trajectory’ for the interval between the two measurements, but also trace it back into the ‘past’ (a claim discussed in greater detail in the printed enclosure). (Popper to Heisenberg, Nov. 26, 1934; Karl Popper Archives 305-52)

At X , a parallel-plate electrostatic analyzer producing a uniform electric field E perpendicular to the beam direction (see fig. 2 below) filters a sub-ensemble of electrons with the same *predetermined* momentum a_2 *without changing it*. (Popper, 1935a, 220–21/299–300). If the momentum remains unchanged, then so does the position,¹⁰ although the latter remains unknown. The unknown position may later be revealed by a second measurement at X , where the tip counter (or a clock-driven moving film strip) records the position and arrival time. Since the first momentum measurement leaves the electron’s state unchanged, one might think that the electron’s past trajectory could be reconstructed not only *between* the two measurements—momentum followed by position—but also *before* the first momentum measurement.¹¹

There was now too little time for Popper to prepare a rejoinder. On November 30, 1934, the note was published in volume 22 of *Die Naturwissenschaften*, immediately followed by von Weizsäcker’s response. A few days later, Popper sent Heisenberg a second communication (Popper, 1934b), along with a copy of the freshly printed *Logik der Forschung*, still unavailable to the public (Popper to Heisenberg, Dec. 6, 1934; Karl Popper Archives 305-52). Popper’s counterargument was based on a modification of the momentum measurement already proposed in Appendix VI of the *Logik der Forschung*.

¹⁰Popper argues that otherwise this would imply action at a distance; see Popper, 1935a, App. VI.

¹¹The apparent peculiarity of the momentum–position sequence, which Popper seeks to exploit, stems from the fact that a momentum eigenstate is also an eigenstate of the free-particle Hamiltonian, whereas a position eigenstate is not. Consequently, momentum selection appears not to disturb the subsequent evolution in the way that a position preparation, such as passage through a single slit, does. As Popper would later realize, however, this is an illusion. The selector prepares the system in a momentum eigenstate, which has no definite position. The prepared state is ‘anonymous’: it does not license the conclusion that the individual

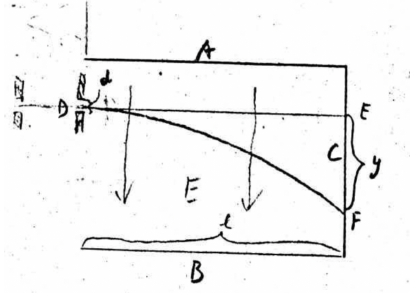


Figure 2: From the letter by von Weizsäcker to Popper, Dec. 6, 1934; KPA 360-21

On December 6, the same day as Popper's letter, von Weizsäcker, at Heisenberg's request, drafted a detailed response (Von Weizsäcker to Popper, Dec. 6, 1934; Karl Popper Archives 360-21), which Heisenberg forwarded to Popper (Heisenberg to Popper, Dec. 10, 1934; Karl Popper Archives 305-32).

Von Weizsäcker's reply centered on Popper's proposed electrostatic analyzer (see fig. 2). Von Weizsäcker reconstructed it as a deflection experiment in which an electron beam passes between condenser plates *A* and *B* under the action of an electric field *E*. Without the field, the beam would follow the direction *DE*; with the field, it is deflected, so that each impact point on the screen *EF* corresponds to a value of the transverse momentum. For small deflections, the displacement is

$$y = \frac{eE}{2m} t^2 = \frac{eE}{2m} \frac{l^2}{v^2}.$$

Popper's idea was that the impact point would provide a momentum measurement followed by a position measurement. Von Weizsäcker's objection was that the experiment's geometry already contains the limits expressed by the uncertainty principle (Von Weizsäcker to Popper, Dec. 6, 1934; Karl Popper Archives 360-21).

To infer the momentum from the impact point, the electron's path through the field must be fixed with sufficient precision. This requires diaphragms at *D* (fig. 2). But narrowing the diaphragm increases diffraction. If the slit has width *d*, then the transverse spread Δy at the detector is constrained both by the slit width and by the transverse momentum uncertainty generated by diffraction:

$$\Delta y > d,$$

$$\Delta y = \frac{\Delta p_y l}{mv},$$

with

$$d \Delta p_y \geq h.$$

It follows that

$$(\Delta y)^2 \geq \frac{h}{mv} l.$$

particle possessed that momentum and position before the preparation (London and Bauer, 1939, 257).

Thus the diaphragms required to define the beam path blur the screen point that is supposed to determine the momentum (Von Weizsäcker to Popper, Dec. 6, 1934; Karl Popper Archives 360-21).

This transverse uncertainty also affects the longitudinal motion. Since y depends on the flight time $t = l/v$, an uncertainty in y implies an uncertainty in v :

$$\frac{\Delta y}{y} = 2 \frac{\Delta v}{v}.$$

This yields an uncertainty in the longitudinal momentum, $\Delta p_x = m\Delta v$, and in the reconstructed coordinate $x = vt$. Combining these uncertainties, von Weizsäcker concluded that the product $\Delta p_x \Delta x$ cannot be reduced below the order of Planck's constant. For small deflections, his calculation gives

$$\Delta p_x \Delta x > \frac{l^2}{y^2} h,$$

so that no violation of the uncertainty relation is obtained. Larger deflections require an additional angular correction, but do not alter the conclusion (Von Weizsäcker to Popper, Dec. 6, 1934; Karl Popper Archives 360-21). The same arrangement that makes the impact point interpretable as a momentum measurement also prevents the earlier position from being sharply reconstructed. Von Weizsäcker therefore concluded that Popper had not supplied a realizable experiment capable of undercutting the uncertainty principle (Von Weizsäcker to Popper, Dec. 6, 1934; Karl Popper Archives 360-21).

3 The Hermann–von Weizsäcker Correspondence on Popper's Thought Experiment

In his reply of December 10, Heisenberg enclosed von Weizsäcker's letter and then dismantled Popper's attempt to invert his thought experiment by replacing the electron beam with a light beam and using a light filter to select light quanta that already possessed the 'right' momentum (Heisenberg to Popper, Dec. 10, 1934; Karl Popper Archives 305-32). In response, Popper sent Heisenberg a revised version of the second communication summarizing the debate (Popper, 1934c). He conceded that von Weizsäcker's argument against the electrostatic selector was correct but defended his idea of using a light-quantum filter against Heisenberg's objections (Popper to Heisenberg, Dec. 16, 1934; Karl Popper Archives 305-32). Heisenberg did not reply further. But Popper persisted. He suggested another experimental arrangement, whose examination Heisenberg delegated to his other assistant Hans Euler. Popper envisaged two diffraction gratings inclined in opposite directions, each imposing a separate selection condition, hoping to select both wavelength and direction of the incoming light quanta. Euler replied on February 4, 1935 by showing that the two gratings form a single coherent interference system and cannot be treated separately.¹²

¹²Popper–Euler Correspondence, Karl Popper Archives 293-29.

3.1 Hermann's Letter to von Weizsäcker

While the Leipzig group had begun to tire of Popper's stubbornness, von Weizsäcker received a review copy of *Logik der Forschung* from the *Physikalische Zeitschrift*. Given their dispute during the preceding months, however, he declined to review the book and instead sent it to Hermann. Writing to von Weizsäcker from Østrupgaard in Denmark, Hermann asked for clarification regarding his response to Popper's thought experiment (Hermann to Weizsäcker, Mar. 5, 1935; [Herrmann, 2019](#), Ab. III, Br. 14). In particular, she requested an offprint of his reply to Popper in *Die Naturwissenschaften*, since she wanted to determine how far Popper had addressed his objections. Hermann noticed that Popper's thought experiment in the main text of *Logik der Forschung* appeared essentially unchanged from the version he had explained to her in Prague, probably at the 1934 philosophy congress, and from the version discussed in *Die Naturwissenschaften*. The two appendices, however, seemed to her to reflect Popper's discussion with Weizsäcker (Hermann to Weizsäcker, Mar. 5, 1935; [Herrmann, 2019](#), Ab. III, Br. 14).

This was not, in fact, the case, since Appendices VI and VII had already been written before von Weizsäcker's response. Nevertheless, as we have seen, Popper does seem to have anticipated a possible objection to his momentum-measuring apparatus and had already proposed a momentum filter as a replacement. Hermann, however, pointed out that this would not solve the problem:

Both [appendices] concern the possibility of detecting a corpuscle in such a way that the position and time of impact, for example on a strip of film or by means of a point counter, are determined precisely, together with the direction and magnitude of the momentum with which the particle arrives. In the first case, the aim is to determine the magnitude of the momentum for a given direction; in the second, to determine the direction as well. What is more, the measurements are supposed to be such that they do not apply merely to the interval *between* the momentum measurement and the position measurement, during which, incidentally, no further disturbance may occur; rather, they are also supposed to determine the exact trajectory of the corpuscle for the time *before* the momentum measurement, so that, on the basis of some earlier collision, the trajectory of another particle can then be predicted with an accuracy exceeding the uncertainty relations. The decisive trick by which this physically inadmissible prediction is to be forced is, in the first appendix [VI], a momentum selection, that is, a selection of the particles in which this momentum is found, to be carried out on a nonmonochromatic, parallel-directed beam of particles, and specifically in such a way that the momenta of the selected particles, or their momentum component in the direction of the beam, are not changed. (Hermann to Weizsäcker, Mar. 5, 1935; [Herrmann, 2019](#), Ab. III, Br. 14; *emph. added*)

Hermann clearly identified the central flaw of Popper's arrangements: Popper needs to determine not only the electron's momentum *between* the momentum and position measurements, but also its momentum *before* the first momentum measurement, in order to reconstruct the collision time at *S* and thereby predict the entire trajectory of the correlated *B*-particle, a prediction testable at *Y*.

Hermann jotted down some still undeveloped ideas and asked for von Weizsäcker's opinion. They are articulated in three points.

1. Hermann first points out that, according to quantum mechanics, Popper cannot use the momentum measurement at *X* to retrodict the earlier trajectory of the *A*-particle with arbitrary precision. At this point, she tentatively locates Popper's mistake in the assumption that a momentum component can be measured without an uncontrollable disturbance of that very component: "An exact momentum measurement will therefore be able to determine either the momentum before the measurement or the momentum after it, but not both" (Hermann to Weizsäcker,

Mar. 5, 1935; [Herrmann, 2019](#), Ab. III, Br. 14). Otherwise, she argues, an exact momentum measurement would determine both the momentum before and the momentum after the measurement, thereby immediately violating the uncertainty relations. Yet Hermann does not rest content with this apparatus-specific disturbance argument. She asks whether the impossibility of such a measurement can be derived more generally from the deeper requirement of wave-particle duality. Popper appeals to various devices—a film strip or tip counter for registering the particle, a filter for a light beam, and spectral analysis in an electric field for an electron beam—but Hermann is unsure how, in each case, the failure of the proposed apparatus follows from the necessary interplay of wave and particle descriptions.

2. For Hermann, the deeper source of Popper’s mistake was indeed his misunderstanding of wave-particle duality. From the *Logik der Forschung*, one can gather that Popper treats the ψ -function as a wave-like statistical description of ensembles of particles: “The error in this consideration is this: according to Heisenberg’s derivation, the uncertainty relations follow from the dualism experiments and from the compulsion issuing from them to apply features of the wave picture to every atomic process, and features of the corpuscular picture to every wave propagation” (Hermann to Weizsäcker, Mar. 5, 1935; [Herrmann, 2019](#), Ab. III, Br. 14). The uncertainty relations therefore limit not merely the composition of ensembles, but the applicability of classical state descriptions to individual systems. The probability interpretation of the wave function captures only one aspect of quantum mechanics: it specifies what can be said when one passes from one measurement context to another. After the momentum measurement, the system is in an eigenstate of the momentum operator; in this case the corresponding value is not merely probable but fixed. If, however, one asks what would be obtained by measuring position, for instance what position would be found after a momentum context has been fixed, the wave function supplies only a probability distribution over possible outcomes: “Popper fails to recognize this side of Bohr’s complementarity” (Hermann to Weizsäcker, Mar. 5, 1935; [Herrmann, 2019](#), Ab. III, Br. 14).
3. Given these premises, Hermann turns to the problem of past trajectory reconstructions. Popper is not merely unable to reconstruct the trajectory of the A -particle before the momentum measurement at X ; it also makes no sense, in the relevant physical sense, to speak of a particle’s trajectory *between* the momentum and the position measurement. In general, retrospective trajectory calculations ignore wave-particle duality by restricting themselves to the intuitive features of only one picture, usually the corpuscular picture, and then joining together quantities fixed in distinct measurements. Popper’s electron–photon interaction cannot be described solely as a corpuscular collision. The interaction between the light quantum and the electron is described within the corpuscular picture in accordance with energy and momentum conservation, but the propagation of the scattered light must be described within the wave picture. An apparatus like Heisenberg-von Weizsäcker’s γ -ray microscope is therefore needed to determine how the wave-optical propagation is correlated with the corpuscular scattering

event. Optical instruments of this kind fix either the point of origin or the direction of propagation of the scattered light, but not both with arbitrary precision. Hermann therefore concludes that Heisenberg’s “much-debated remark”, according to which the past trajectory was a matter of taste, was unfortunate (Hermann to Weizsäcker, Mar. 5, 1935; [Herrmann, 2019](#), Ab. III, Br. 14).

As we shall see, von Weizsäcker would express some reservations about point (1). Otherwise, however, the letter contained the outline of Hermann’s critique of Popper’s interpretation of quantum mechanics, which she would articulate in both her long article on quantum mechanics ([Herrmann, 1935a](#)) and her review of *Logik der Forschung* ([Herrmann, 1935b](#)).

3.2 Von Weizsäcker’s Reply to Hermann

Hermann’s tone is markedly different from Popper’s self-assured manner. Despite having a much more sophisticated knowledge of quantum mechanics, she proceeds cautiously, asking at nearly every step whether von Weizsäcker would agree with her remarks. Von Weizsäcker thus plays the expert to whom Hermann turns for technical clarification (Weizsäcker to Hermann, Mar. 13, 1935; [Herrmann, 2019](#), Ab. III, Br. 15). For instance, at Hermann’s request, von Weizsäcker explains what a tip counter is, an instrument with which Hermann was apparently unfamiliar. In such a counter, the sensitive electrode is a fine metal tip S (*Spitze*), maintained at a high potential difference relative to the surrounding conductive wall W of the gas-filled counter chamber (fig. 3). An ionizing particle passing sufficiently close to the tip produces primary ions in the gas; the strong electric field near the tip then amplifies this ionization into a discharge. The discharge registers that a particle has passed through the small sensitive region around the tip and thus gives a relatively sharp practical determination of the place and time of its passage. As von Weizsäcker adds, “A photographic plate, pinhole aperture, or the like therefore performs exactly the same function as the tip counter” (Weizsäcker to Hermann, Mar. 13, 1935; [Herrmann, 2019](#), Ab. III, Br. 15).

The system, or any straightforward modification of it, is not suitable for measuring momentum, contrary to Popper’s claim. For this reason, in his paper von Weizsäcker had proposed a measurement by the Doppler effect. He agrees with the result of Hermann’s critique in point (1) of her letter, but slightly revises her diagnosis of the failure (Weizsäcker to Hermann, Mar. 13, 1935; [Herrmann, 2019](#), Ab. III, Br. 15). On Hermann’s diagnosis, an exact momentum measurement cannot determine both the incoming and the outgoing momentum, because the measurement must involve an uncontrollable *disturbance* of the measured momentum component itself (Weizsäcker to Hermann, Mar. 13, 1935; [Herrmann, 2019](#), Ab. III, Br. 15). According to von Weizsäcker, however, if we know the initial state of the electron and the incident light quantum, it is possible to determine the incoming and outgoing momenta. The issue is that an exact momentum measurement takes a *finite time*, so that the instant of the measuring collision is not known. Consequently, a later position cannot be traced back through the measuring process to an earlier position with arbitrary precision. Even granting Popper exact knowledge of the momentum before and after the measuring interaction, he still cannot reconstruct the collision

Figure 3: Von Weizsäcker’s scheme for a tip counter; from [Herrmann, 2019](#), 485

time at S , because he does not know how long the particle moved with the pre-collision momentum and how long with the post-collision momentum (Weizsäcker to Hermann, Mar. 13, 1935; Herrmann, 2019, Ab. III, Br. 15).

Popper’s selection of electron momenta in an electric field does not improve the situation. Von Weizsäcker reiterates a simplified version of the argument that he and Heisenberg had developed in correspondence with Popper (fig. 4). He adds that Popper’s filter has the same structure as the field-deflection measurement discussed by Heisenberg for a magnetic field in his textbook (Heisenberg, 1930, 21). In Heisenberg’s example, a beam of charged particles passes through a slit of width d , traverses a homogeneous magnetic field over a distance a , and is deflected by an angle α , from which the longitudinal velocity, and hence the momentum, can be inferred:

$$\alpha = \frac{aHe}{mcv}.$$

Von Weizsäcker schematizes the same problem by considering a beam entering a field region through an aperture of width d and arriving at a point P after a displacement y . The arrival point can function as momentum information only because the field maps different momenta onto different deflections. But this inference presupposes that an aperture has defined the incoming beam: its finite width limits the geometrical accuracy of the reconstruction, while too narrow an aperture produces diffraction. Heisenberg therefore obtains, for the magnetic-field case, the constraints

$$\Delta\alpha \gtrsim \frac{d}{l+a} \quad \Delta\alpha \gtrsim \frac{\lambda}{d},$$

which jointly prevent an arbitrarily sharp determination of both the beam localization and the direction of propagation. The same limitation applies to von Weizsäcker’s simplified diagram: the later arrival at P and the displacement y do not allow one to reconstruct a precise earlier position independently of the conditions that made momentum selection possible. In both cases, the field-deflection measurement yields momentum information only at the cost imposed by aperture width and diffraction, so that the uncertainty relation is restored.

Figure 4: Von Weizsäcker’s scheme for a spectral analyzer; from Herrmann, 2019, 483

Popper had proposed light-filter arrangements as well, but von Weizsäcker notes that he initially had no clear account of what sort of filter should be used for light. Von Weizsäcker explains to Hermann Heisenberg’s argument against the use of resonance fluorescence to filter the photon momentum in some detail (von Weizsäcker to Hermann, Mar. 13, 1935; Herrmann, 2019, Ab. III, Br. 15). He recounts that Popper devised further experimental arrangements, probably referring to the arrangement refuted by Euler. For a time, it had become, as von Weizsäcker sarcastically put it, “a parlor game [*Gesellschaftsspiel*] for us in Leipzig to refute Popper’s setups” (von Weizsäcker to Hermann, Mar. 13, 1935; Herrmann, 2019, Ab. III, Br. 15). The basic idea behind these refutations, he suggests, is this: the corpuscular picture is applicable only as long as one can also replace ‘corpuscle’ by ‘wave packet’. Any arrangement capable of undercutting the uncertainty relation would have to make possible a wave packet with

$$\frac{\Delta x}{\Delta \lambda} < 1.$$

This is fundamentally absurd in classical wave kinematics, which requires Δx to be larger than $\Delta \lambda$: the narrower the spatial extension of a wave packet ($\Delta x \rightarrow 0$), the wider the spread of different constituent wavelengths (forcing $\Delta \lambda \rightarrow \infty$). Yet von Weizsäcker remains cautious about whether this “recipe for refuting Mr. Popper” can be transformed into a compelling general argument. In the end, he suggests, one must appeal to the quantum-mechanical formalism as a whole, which Popper seems not to have understood (Weizsäcker to Hermann, Mar. 13, 1935; [Herrmann, 2019](#), Ab. III, Br. 15).

With respect to point 2, von Weizsäcker tends to agree with Hermann, although his lack of familiarity with Popper’s book prevents him from making the claim with complete confidence: “With Popper, it has already happened to me once that, with the best will in the world, I could not quite work out *what* he had actually misunderstood” (Weizsäcker to Hermann, Mar. 13, 1935; [Herrmann, 2019](#), Ab. III, Br. 15). At that time, Popper had assumed that his ‘non-prognostic measurements’ were “a generally known and accepted matter” (Weizsäcker to Hermann, Mar. 13, 1935; [Herrmann, 2019](#), Ab. III, Br. 15). Hermann’s question was whether Popper’s mistake lay in treating the wave function statistically, as if wave–particle duality applied only to ensembles rather than to individual quantum processes. Here, however, von Weizsäcker cannot satisfy Hermann’s request to provide an experiment in which wave–particle duality is manifested in a single system. In processes involving individual particles, wave–particle dualism can hardly be demonstrated except by repeating the experiment. The reason may be that all measurements ultimately culminate in the determination of a position at a time, that is, a spacetime coincidence, thereby allowing a corpuscular description of the individual case.

4 Hermann on Popper in *Die naturphilosophischen Grundlagen der Quantenmechanik*

On March 19, Heisenberg wrote his last letter to Popper, explaining that all the considerations developed in his previous letter applied to transmitted light as well (Heisenberg to Popper, Mar. 19, 1935; Karl Popper Archives 305–32). With this, Heisenberg declared the matter closed. Yet one might say that a more systematic ‘public’ answer from the Leipzig group to Popper came not from Heisenberg or von Weizsäcker, but from Hermann. In his reply to Hermann, von Weizsäcker does not comment on point (3) of her letter, although this point would become the core of her published refutation: quantum mechanics allows the reconstruction of measurement processes, but such reconstructions must not be confused with the reconstruction of past trajectories.

As previously mentioned, Hermann ultimately traced the failure of Popper’s setup to his misunderstanding of wave–particle duality ([Hermann, 1935a](#), §2). Popper applies the wave description to the probability distribution of an ensemble of particles, and the particle description to individual particles. By contrast, according to Hermann, each individual electron and photon must be described under the dual aspect of corpuscle

and wave: “In this relative character of the quantum-mechanical mode of description lies the new and amazing natural-philosophical aspect that is here introduced into the view of nature. What it consists of can best be understood on the basis of a thought experiment treated by a pupil of Heisenberg” (Hermann, 1935a, 110–11/257).

The student in question is of course von Weizsäcker. A few years earlier, Weizsäcker’s (1931) paper on the localization of an electron had developed a modified version of Heisenberg’s (1927) famous microscope argument. In Heisenberg’s original version, the measurement of position leaves the electron’s momentum indeterminate because of the uncontrollable momentum transfer involved in the scattering process. Von Weizsäcker’s treatment, by contrast, emphasizes a different point: measurements of position and momentum require two incompatible experimental arrangements. Von Weizsäcker’s aim was primarily to substantiate Heisenberg’s analysis through detailed calculations; Hermann, by contrast, used a qualitative version of the thought experiment to express the central conceptual novelty of quantum mechanics (Filk, 2017).

4.1 The von Weizsäcker-Hermann Microscope

Since Hermann’s presentation seems to presuppose some working knowledge of the apparatus, I will provide a more didactic account. Hermann considers an electron moving along the object plane L , identified with the x -axis (fig. 5). The relevant conjugate quantities are therefore its position x along this plane and the corresponding momentum component p_x . To measure the electron, one illuminates it with a light quantum prepared in a plane-wave state, that is, with a photon of well-defined momentum. The situation can be simplified by assuming that only a single photon in each run is involved. The central point is that the light quantum involved in the electron’s illumination must be described under the dual aspect of wave and particle. As a particle, it collides elastically with the electron according to the classical laws of impact, where momentum conservation applies: both the light quantum and the electron are deflected, and their changes of momentum are equal in magnitude and opposite, in particular in the x -direction. As a wave, it is deflected by the electron, enters the microscope, is propagated through the lens according to the classical laws of optics, and reaches a scintillating screen S .

It is useful to spell out a few details of classical optics that remain implicit in Hermann’s account. A lens takes parallel rays and bends them toward a single point. Let us assume that the lens has fixed optical properties, determined by its refractive index n and by the radii of curvature R_1 and R_2 of its surfaces. These properties determine the focal length f , the distance from the lens to the focal point at which rays entering parallel to the optical axis are brought together. For a thin lens in air, this relation is given by the lens maker’s equation:

$$\frac{1}{f} = (n - 1) \left(\frac{1}{R_1} - \frac{1}{R_2} \right).$$

The *focal length* f is therefore a constant determined by the lens and the surrounding medium. It should be distinguished from the *image distance*, that is, the distance from the lens’s principal plane to the plane at which rays diverging from a given object point are brought together at the corresponding image point. The image distance depends

directions within the aperture. These directions are recombined at the image point P' . From the mark on the plate at P' , one can infer the position P of the electron at the time of its collision with the photon. This description presupposes that a spherical wave spreads out from the point of collision and that the whole aperture of the microscope contributes to the image. In the corresponding corpuscular description, however, this means that no definite direction can be assigned to the photon after scattering. The momentum transferred to the electron therefore cannot be exactly determined. Immediately after the collision, the electron must be described approximately by a spherical wave function ψ that assigns it a relatively sharp position but a less sharply determined momentum.

- To obtain a very precise position measurement Δx , one needs a large lens aperture 2ϵ , so that the microscope collects more of the spherical wave coming from P and thereby localizes its origin more precisely.¹³ A large ϵ , however, means that the scattered photon may have entered the microscope from within a wide range of directions. This produces a large, unavoidable uncertainty in the momentum transferred to the electron, so that Δp_x is correspondingly large. In this arrangement, therefore, only the probability of finding the particle with a given direction of motion can be specified.

$$\Delta x \sim \frac{\lambda}{\sin \epsilon}, \quad \Delta p_x \sim \frac{h}{\lambda} \sin \epsilon.$$

- S – lens = f : In the focal-plane arrangement, the optical system groups together photons with the same outgoing direction, even though they may have been scattered from different points $P_1, P_2, P_3, \dots$ in the object plane L . These photons are focused onto the same point P' of the focal plane S . In this arrangement, the point P' therefore determines the direction in which the scattered light entered the microscope. The scattered photon travels mostly upward, so its momentum has a large y -component and, if it is slightly inclined, a smaller x -component. If α is the angle between the scattered photon's direction before it reaches the lens and the vertical optical y -axis, then the transverse momentum component of the photon is $p_{P,x} = p_P \sin \alpha$. If the photon's transverse momentum before the collision is known, conservation of momentum fixes the corresponding change in the electron's momentum $p_{E,x}$. The electron wave function ψ is therefore represented, in this momentum context, approximately as a plane wave with relatively sharp momentum but indeterminate position.
- To obtain precise momentum information, the apparatus must distinguish closely spaced incident directions. The lens maps the physical scattering angle α in the object space to the refracted angle ϑ in the image space. Since the focal-plane mark resolves only a narrow angular spread $\delta\vartheta$ of the refracted light, the smaller $\delta\vartheta$, the more precisely the photon's original direction, and thus its transverse momentum, can be determined. This gain, however, leaves the point of origin in the object plane L , and thus the position of the scattering

¹³If the aperture angle 2ϵ is small, the lens receives only a narrow cone of the spherical wave. Then many nearby points would produce nearly indistinguishable wavefronts at the lens, and the resulting image point

event, indeterminate: only the probability of finding the particle at a given point can be specified.

$$\Delta p_x \sim \frac{h}{\lambda} \delta\vartheta, \quad \Delta x \sim \frac{\lambda}{\delta\vartheta}.$$

It is worth noting that Hermann’s analysis presupposes two aspects of wave-particle duality, and thus two levels of ‘complementarity’. Moving beyond Hermann’s own terminology, the first may be called (a) *inter-contextual*: the incompatibility between image-plane and focal-plane arrangements familiar from classical wave optics acquires direct significance for the attribution of states to particles: image-plane and focal-plane arrangements are *complementary* in the strict sense, since they register either the point of origin or the direction of propagation of waves, and hence either the position or the momentum of particles (Hermann, 1935a, 128/284). The second is (b) *intra-contextual*, internal to each context: once an arrangement has been chosen (image plane or focal plane), the interplay between the wave and corpuscular descriptions yields the corresponding *uncertainty* trade-off between the position and momentum of particles (Hermann, 1935a, 129/284).

If no photographic plate S is introduced, the photon is not registered, and a third description is required, which might be called *pre-contextual*, that is, prior to the choice of the observational context (Hermann, 1935a, 135/258). Hermann explains this in a short note set in smaller type, worth examining in some detail. Before the collision, the photon and electron may still be described by a total wave function that is the product of their respective wave functions, ϕ and ψ . In Hermann’s notation:¹⁴

$$\varphi \cdot \psi = \sum_k a_k \varphi_k \sum_i b_i \psi_i$$

After the collision, however, the wave function of the composite system is, in general, no longer given by the product of two independently assigned wave functions, one for each subsystem, but by a “linear combination”, that is, a superposition or weighted sum of such products (Hermann, 1935a, 113/258):

$$\sum_{ik} c_{ik} \varphi_i \psi_k$$

where the coefficients no longer factorize into separate coefficients for the two subsystems, $c_{ik} \neq a_k b_i$. In Hermann’s idealized thought experiment, the fixed initial momenta, together with momentum conservation and the geometry of the optical apparatus, establish one-to-one correlations between the electron and the photon. Accordingly, the coefficients may be written with a single index,

$$\sum_n c_n \varphi_n \psi_n,$$

where corresponding electron and photon wave functions are paired by the same index. The coefficients determine the probabilities of the correlated joint outcomes: $|c_n|^2$ is the

is diffraction-broadened. The origin of the spherical wave is therefore only poorly localized..

¹⁴I rely on Hermann to Scholz/Kratzer, Jul. 8, 1936; Hermann, 2019, Ab. III, Br. 28.

probability that a measurement yields the correlated pair $\varphi_n\psi_n$, that is, if the photon is found in the state ψ_n , then the electron is in the corresponding correlated state φ_n . In particular, the same joint wave function may be expanded in terms of either the correlated momentum eigenfunctions or the correlated position eigenfunctions, depending on whether one chooses to measure momentum or position:

$$\sum_n c_n^{(p)} \varphi_n^{(p)} \psi_n^{(p)} = \sum_n c_n^{(x)} \varphi_n^{(x)} \psi_n^{(x)}.$$

One may distinguish two equivalent representations of the same joint state. If the registration plane is placed at the *focal plane*, the joint state is naturally represented by an expansion of the correlated momentum eigenfunctions. Each term then correlates a photon momentum eigenstate $\psi_n^{(p)}$ with the corresponding electron momentum eigenstate $\varphi_n^{(p)}$, reflecting momentum conservation,

$$p_E + p_P = P_{\text{tot}}.$$

If, instead, the registration plane is placed at the *image plane*, the same joint state is more naturally represented by an expansion of the correlated position eigenfunctions. Each term then correlates a photon position eigenstate $\varphi_n^{(x)}$ with the corresponding electron position eigenstate $\psi_n^{(x)}$, reflecting the geometrical relation established by the optical arrangement between the collision point and the registration on the screen,

$$x_E - x_P = \text{constant}.$$

The important point is that these are not two independent descriptions of independently characterized systems, but two representations of one and the same joint state. Depending on whether one adopts the momentum or the position representation, the state is expanded in terms of momentum- or position-correlated eigenstates, respectively, using the corresponding orthogonal system.

One can already glimpse the correlation structure that, as we shall see, would become central to discussions of quantum mechanics only a few months later (Filk, 2017, sec. 5.2). After the collision, the resulting state is generally no longer a simple product of an electron state and a photon state, but a linear combination in which each term correlates a particular state of the electron with a corresponding state of the scattered photon: “These various possibilities, among which observation decides, are merged on an equal footing by a symbolic addition [*Summation*] into a combined wave function that develops in a phase space [*Phasenraum*] determined by the degrees of freedom of both systems” (Hermann, 1935a, 137/270). In Hermann’s example, if one *chooses* to measure the photon’s position, one can predict with certainty the electron’s position; if one instead *chooses* to measure its momentum, one can predict with equal certainty the electron’s momentum. However, these two alternative descriptions, since they belong to different and incompatible observational contexts, are necessarily counterfactual and cannot be *combined* into a real position-cum-momentum state of the electron.

Hermann’s goal is to show that a registration event, a mark P' on the photographic plate S , has no determinate physical meaning by itself. Its significance depends on the *reconstruction* of the entire optical arrangement (the lens, the focal point, the position of the planes, etc.) that correlates it either with a direction of propagation or with a

point of origin. In particular, if the plate S is placed in the focal plane, at distance f from the lens, the lens maps parallel rays, and hence a definite propagation direction, onto a point on the plate. The mark P' is then interpretable as momentum information. Its precision depends on the angular spread $\delta\vartheta$ of the rays contributing to that mark. If, by contrast, the plate S is placed in the image plane corresponding to the object plane, at distance d_i determined by the thin-lens equation, the lens maps object points onto image points. The same kind of mark P' is then interpretable as position information, since it indicates the point P from which the photon was scattered. Its precision depends on the resolving power of the image, and thus on the aperture angle 2ϵ .

4.2 Hermann Against Popper: Measurement Reconstruction vs. Trajectory Reconstruction

At this point, Hermann is able to articulate her position regarding the principle of causality following the advent of quantum mechanics. The principle of causality can no longer be understood to require sharp *predictions* of future measurement outcomes, since quantum mechanics generally yields only statistical predictions. Nevertheless, it must be presupposed as the condition for unambiguous *retrodiction* from an already registered measurement outcome P' to the microscopic quantity in question, say x or p_x (Hermann, 1935a, 105-106/272). As in classical physics, this retrodiction requires a *causal reconstruction*: only through a theory of the measuring apparatus can one draw an unambiguous inference from a measurement result, such as a pointer reading, to a property of the measured object (Hermann, 1935a, §12). However, quantum mechanics makes this dependence more radical. Because of wave-particle duality, in quantum mechanics one may reconstruct the measurement as yielding either x or p_x , but not both within a single observational context (Crull, 2022, sec. 22.3.1). The choice of context is therefore *free*, in accordance with wave-particle duality; once that context has been chosen, however, the reconstruction is *necessary*, as required by the *a priori* principle of causality. It is this interplay that Hermann, in the closing section of her paper, famously called a ‘splitting of truth’ (*Spaltung der Wahrheit*) (Hermann, 1935a, §18).

After the choice has been made, each causal reconstruction, say, from the mark P' on S back to the photon’s position, is indirectly testable through the statistical predictions it yields for the electron. A few pages later—probably after her correspondence with von Weizsäcker about Popper—Hermann added a small-print note warning against confusing two types of causal reconstruction—legitimate ‘measurement reconstructions’ and illegitimate ‘trajectory reconstructions’:

The retrospective inferences [*Rückschlüsse*] underlying these indirect predictions, however, must not be confused with those familiar inferences [*Rückschlüssen*] that apparently allow one to determine a physical system for a past time segment with accuracy exceeding the uncertainty relations. Thus it appears as though one could, for instance, escape the limits on measurement accuracy by taking two position measurements on an electron in quick succession, or by first determining its momentum and shortly thereafter its position, in order then to calculate from the results of both measurements together the exact trajectory of the electron for the time interval between the two measurements, and thus determine with arbitrary accuracy its respective position and momentum for this time. (Hermann, 1935a, 121/262)

Hermann argues that the after-the-fact reconstruction of a particle trajectory differs fundamentally from the retrospective reconstruction of the measurement process. According to Hermann, *trajectory reconstructions* ignore the dualism of wave and

particle descriptions; in particular, they ignore what I have labeled the inter-contextual aspect of this dualism:

This posterior [*nachträgliche*] construction of an electron trajectory differs from the inferences [*Rückschlüsse*] that are necessary for the interpretation of a measurement in that it takes no account of the duality of the wave and particle picture, limits itself to the intuitive features of one of these pictures and, since each single observation makes reference to both pictures and hence admits unlimited use of neither, fixes these features through two different observations. In this way one apparently succeeds in combining the variables belonging to alternative observational contexts into a single description of the physical system. In contrast to this, the causal inference that determines the state of the observed from that of the measuring instrument must take duality into account in the interpretation of a measurement. For it is essential for the process of measurement that every atomic process also exhibits a wave character, and every wave motion also a corpuscular character. The single observation of the measuring instrument that grounds the inference to the object of measurement determines in which way the wave and particle picture delimit each other in the present interpretation. (Hermann, 1935a, 121–22/262)

The *trajectory reconstruction*, by combining features fixed through two different observational contexts, momentum *and* position, tries to unite determinations that in fact belong to different observational contexts within a single description of the physical system. The *measurement reconstruction*, from an indicator mark to the value of a quantity of the observed object, momentum *or* position, must account for wave–particle dualism. This, Hermann continues, was precisely the lesson of the microscope example:

The significance of this contrast follows from the relative character of the quantum-mechanical mode of description. Since every physical description and explanation of processes is valid only relative to its respective observational context, the calculation of, say, a corpuscular trajectory that combines the variables of different observational contexts into a single representation remains physically vacuous precisely to the extent that it exceeds the uncertainty relations: it is uncheckable and provides no basis for future predictions.*] The controversial representation Heisenberg gave of this situation—that it is purely a matter of taste [*Geschmacksfrage*] whether one should assign physical reality to such trajectory calculations—is therefore to be amended in the sense that such calculations disregard the quantum-mechanically crucial relation that each specification of a physical variable [*physikalische Bestimmung*] bears to the respective observational context and, in this sense, become physically meaningless. Conversely, the possibility of checking, even indirectly, the causal claims that arise in the interpretation of a measurement process depends on the fact that this interpretation does justice to duality, and thus implicitly to the relative character of quantum mechanics. (Hermann, 1935a, 122/263; tr. mod.)

The reference marked by the asterisk * is again to p. 15 of Heisenberg’s (1930) Chicago lectures. As we have seen, for Heisenberg, the question whether physical reality can be assigned to such a reconstructed trajectory is merely a ‘matter of taste’. For Hermann, by contrast, it was, so to speak, a ‘matter of context’. According to Hermann, at the *inter-contextual* level, the trajectory calculation ignores the quantum-mechanically decisive fact that every physical determination is relative to a specific observational context. Put in slightly modern terms, one may construct either a $x(t)$ -history or a $p(t)$ -history, but not a hybrid history (*i.e.*, a trajectory) that treats both as simultaneously valid descriptions of the same individual process. At the *intra-contextual* level, once one of these histories has been selected, the alternative history can be reconstructed only probabilistically. If the chosen context fixes a position history, then the corresponding momentum history is not available as a determinate trajectory, but only as a distribution of possible momentum values. Conversely, if the chosen context fixes a momentum history, then the corresponding position history remains indeterminate and can be specified only probabilistically.

The premises for Hermann’s critique of Popper are now established. She adds a footnote to the passage just quoted, marked here by an asterisk *, applying this analysis to Popper’s 1934 paper in *Die Naturwissenschaften*. As we have seen, Popper’s

strategy was based on a very permissive interpretation of Heisenberg’s matter-of-taste remark: “One has attempted, however, to use such calculations of trajectories to derive predictions in a similarly indirect manner as happens in the interpretation and checking of measurements, and thereby to overcome the limits of the uncertainty relations” (Hermann, 1935a, 123; fn. 10/263; fn. 10). As Hermann suggests, Popper’s setup is structurally similar to Hermann’s own. In both cases, after the electron–photon collision, the two particles are described by the same kind of pre-contextual joint state. Popper then *chooses* a context, that is, to measure first the momentum of the electron and later its position in order to reconstruct the trajectory and use conservation laws to predict the photon trajectory. Extending Hermann’s analysis, one can argue that since Popper fails to recognize that a choice of context has been made, his attempt ultimately fails on two levels:

- At the *intra-contextual level*, after the electron–photon collision, a momentum measurement on the photon selects the momentum representation of the joint state. By momentum conservation, this also fixes the corresponding momentum of the electron. But precisely within this momentum context, the electron’s position is indeterminate. Popper therefore cannot reconstruct the electron’s position-cum-momentum trajectory *before* the first momentum measurement at X .
- At the deeper *inter-contextual level*, Popper tries to combine this momentum determination with a later position measurement, as if the two results belonged to a single classical history of the same particle. However, in quantum mechanics, state attributions at each instant are irreducibly tied to experimental contexts that are generally mutually exclusive; it is therefore physically meaningless to stitch them together to form a context-independent trajectory. Thus, even the notion of a trajectory *between* the momentum measurement and the position measurement at X has no well-defined quantum-mechanical meaning.

As already anticipated in her letter to von Weizsäcker, Hermann claims that the roots of Popper’s mistake can be understood only if one reads not only Popper’s 1934 paper but also his 1935 book: “the real basis of this mistake, as only the more detailed discussions in Popper’s book *Logik der Forschung* (Vienna, 1935) show, lies in a misapprehension of the dualism experiments and their consequences” (Hermann, 1935a, 263; fn. 10/123; fn. 10). In the book, Popper interprets the wave description as referring to an ensemble (*Schar*) and the particle description as referring to an individual member of that ensemble.¹⁵ For Hermann, by contrast, wave and particle are complementary descriptions of the same individual system under different experimental conditions:

Popper is led by the probabilistic interpretation of wave functions to apply these quantum-mechanical state descriptions and the uncertainty relations given with them, strictly speaking, only to ensembles of physical systems, while assuming that a suitably selected individual system is not bound by the uncertainty relations. In doing so, he fails to recognize that, on the basis of the dualism experiments, the applicability of classical concepts is already restricted for each individual elementary process in accordance with the uncertainty relations, and that, accordingly, wave functions are perfectly usable for describing the states of individual systems. That this use of wave functions is compatible with their probabilistic interpretation rests once again solely on the relative character of the quantum-mechanical mode of description: on the one hand, the wave function is completely determined by the values of those

¹⁵Indeed, Popper (1936) would later attempt to demystify ‘complementarity’ in this way.

physical quantities that have a sharp value for the system in the present observational context. To this extent, it characterizes the system quantum-mechanically relative to the present observational context. On the other hand, the probabilistic interpretation of wave functions leads to those determinations that remain from the classical-intuitive description in accordance with the correspondence principle and that determine which statements can be made for the transition from one observational context to another. (Hermann, 1935a, 123–24; fn. 10/263–64; fn. 10)

The same point is reiterated in Hermann’s review of Popper’s book in *Physikalische Zeitschrift*, which appeared in July. The statistical interpretation therefore does not consist in assigning a wave-like probability distribution to an underlying ensemble of particles. Rather, the wave function ϕ has a dual role. At the *intra-contextual* level, within each observational context, it provides a contextual description of the individual system, determined by those quantities that are sharply defined in that observational context. Its probabilistic interpretation, by contrast, is *inter-contextual*: ϕ determines the probability distribution for the observables that would become sharp only in other, incompatible observational contexts. Popper’s probabilistic interpretation is misleading because it remains *non-contextual*: it treats the wave function ϕ as a statistical description of an ensemble of *trajectories* of particles that may still be conceived as possessing definite, context-independent joint position-and-momentum histories.

5 Conclusion: Hermann and Popper after EPR

Hermann’s paper was completed in March 1935, the same month in which the EPR paper was submitted to the *Physical Review* (Einstein et al, 1935). A few weeks before the article went to press, Popper came into contact with Einstein through a mutual acquaintance.¹⁶ During the spring of 1935, worked on a response to the criticisms from the Leipzig group, expanding it into a longer manuscript (Popper, 1935b). It cannot be ruled out that the July publication of Hermann’s (1935b) review of the *Logik* in the *Physikalische Zeitschrift* reinforced his conviction that a public stance was necessary. Weisskopf’s account of Einstein’s recent paper criticizing quantum mechanics led Popper to hope that Einstein would prove more receptive than Heisenberg. Popper therefore decided to send Einstein the manuscript (Popper to Einstein, Aug. 29, 1935; Albert Einstein Archives, 19–127). Popper’s hope was ultimately disappointed: Einstein rejected the proposed experimental setup on the same grounds as von Weizsäcker (Einstein to Popper, Sep. 11, 1935; Albert Einstein Archives, 19–130). Yet he also unwittingly provided Popper with an alternative.

Lacking an extra offprint of the paper to enclose, Einstein explained the EPR setup to Popper. This scenario is somewhat similar to Popper’s thought experiment. Two systems, A and B , interact for a short time and are then separated. After the interaction, they are described by the joint non-factorizable wave function ψ_{AB} . A measurement is performed on A , and quantum mechanics assigns different ψ_B -eigenfunctions to B depending on which measurement is performed on A . By freely choosing to measure either the position or the momentum of A , one can predict either the corresponding position or the corresponding momentum of the distant system B with certainty. As Einstein put it, “if, upon freely choosing to do so [that is, without interfering with it],

¹⁶Busch to Einstein, Apr. 28, 1935; Albert Einstein Archives, 34–338; Einstein to Popper, Jun. 15, 1935; KPA 292–12; Popper to Einstein, Jul. 18, 1935; Albert Einstein Archives, 19–126.

I am able to predict something, then this something must exist in reality” (Einstein to Popper, Sep. 11, 1935; Albert Einstein Archives, 19-130).¹⁷ Einstein’s argument comes close to what Popper was searching for: although the EPR setup cannot predict the future trajectory of B , it suggests that “the system B has indeed a definite momentum and a definite position co-ordinate” (Einstein to Popper, Sep. 11, 1935; Albert Einstein Archives, 19-130).

Bohr’s (1935) reply to Einstein was published a few weeks later, in October. Hermann learned about EPR indirectly from Bohr’s paper, but she quickly noted the similarities with her own γ -microscope setup. “Einstein’s objection”, she wrote in correspondence, is likewise based on the ‘linearly combined’ wave function of a composite system, “if I have understood him correctly from Bohr’s answer” (Hermann to Scholz/Kratzer, Jul. 8, 1936; Herrmann, 2019, Ab. III, Br. 28). Hermann therefore had a reply readily available, consistent with that of Bohr. Since the experimental arrangements for measuring position and momentum are mutually exclusive, the predictions concerning the second system are necessarily counterfactual: “The feature determined by measurement characterizes the system only in the new observational context, not in the old one” (Hermann to Scholz/Kratzer, Jul. 8, 1936; Herrmann, 2019, Ab. III, Br. 28). Quantum mechanics does not license the “combination [*Vereinigung*]” of determinations belonging to different possible, but alternative observational contexts into a single state description: “every state description is only relative to the respective observational context” (Hermann to Scholz/Kratzer, Jul. 8, 1936; Herrmann, 2019, Ab. III, Br. 28).

Popper and Hermann may have had another opportunity to meet at the Second International Congress for the Unity of Science, held in Copenhagen in June 1936, where Herrmann (1936) delivered a short address. However, there is no evidence that the encounter left any trace. They both left continental Europe shortly thereafter, in 1937. They revisited quantum mechanics only after the war, around the turn of the 1950s (Henry-Hermann, 1948; Popper, 1950). Whereas Hermann seems to have moved on, absorbed in political and pedagogical commitments, for Popper this marked the beginning of a full-scale return to the philosophy of quantum mechanics after the debacle of the 1930s (Del Santo, 2019). Popper began writing the material on quantum mechanics in 1951–56, shortly before the publication of the first English edition of the *Logik* in 1959. Part of this material was included in the appendices, while the rest became a separate *Postscript* to the *Logik*, which reached galley proofs in 1956–57 but remained unpublished.

In the new English edition of the *Logik*, the main text of the chapter on quantum mechanics was left untouched; however, Popper sought to make amends for his previous mistakes with new footnotes and appendices marked with starred numbers. Popper acknowledged what von Weizsäcker had pointed out to him: trajectory reconstruction was not possible “*before* the first of these measurements”, that is, the momentum measurement (Popper, 1959, 242; fn. *3; *emph. added*). Without this, Popper’s thought experiment fails. However, Popper continued to hold that “non-predictive measurements determine the path of a particle only *between* two measurements,” such as a measurement of momentum followed by one of position (Popper, 1959, 242; fn. *3;

¹⁷Translation from Popper, 1959, 459.

emph. added). Popper seems not to have appreciated, and quite possibly not to have read, Hermann’s deeper critique that quantum mechanics does not license trajectory reconstructions in general.¹⁸ This is not simply an oversight. Popper’s interpretation of quantum mechanics had changed significantly after the war. The frequency interpretation of probability had been replaced by the propensity interpretation (Popper, 1957, 1959), the issue of determinism by that of realism, and the language of particles by that of experimental arrangements (Howard, 2012). However, Popper never ceased to believe that Heisenberg’s concession that the existence of past trajectories was a ‘matter of taste’ opened a crack in the ‘orthodox interpretation’ that Popper hoped to widen.

The 1956–57 draft of the *Postscript*, and even more clearly a 1966 article (Popper, 1967) conceded that in quantum mechanics *predictions* of future trajectories are statistical, with dispersions related by the Heisenberg formulae. However, testing these predictions requires measurements, and “*these measurements are retrodictions*” (Popper, 1967, 25; Popper, 1982, 60); such retrodictions are, in Popper’s view, reconstructions of particle trajectories. Since quantum mechanics is a theory concerned with the probabilities of trajectories, trajectories must be real; *i.e.*, the particles therefore ‘possess’ sharp positions and momenta at every instant. While the uncertainty relations forbid combining incompatible arrangements to *prepare* an ensemble of particles with the same position and momentum without producing a scatter, Popper insisted that it must be possible to combine the corresponding arrangements to *measure* the very scatter predicted by the uncertainty relations (Popper, 1967, 21). According to Popper (1982, III-17), this is precisely what the EPR thought experiment demonstrates. However, if, upon freely choosing to measure either the position or the momentum of system *A*, one can determine with certainty, in two different runs, both the position and the momentum of *B* *without disturbing* it, then *B* possesses definite values for position and momentum, even though the theory does not enable us to predict them simultaneously.

In Popper’s view, Bohr’s (1935: 697) objection surreptitiously changes the argument. Even in the absence of a ‘mechanical’ disturbance, he takes Bohr to argue, the counterfactual status of the unperformed measurement constitutes a form of ‘non-mechanical disturbance’ that prevents us from inferring simultaneous elements of reality (Popper, 1959, 445). If we choose to measure the momentum of *A*, we can determine the momentum of *B* with arbitrary precision without mechanically disturbing it; however, in doing so we forgo the possibility of measuring its position. Had we instead chosen to measure position, the opposite would have been the case: “The arrangements are exclusive of each other (or ‘complementary’), the argument continues, and so we cannot combine the two spaces [...] into one” (Popper, 1982, 149). Popper, however,

¹⁸An interesting intermediate position between Popper and Hermann concerning the question of past trajectories was defended by Wolfgang Pauli in a 1954 letter to Max Born (Pauli to Born, Mar. 31, 1954; Pauli, 1979–2005, Doc. 1754). Pauli allows that, in the case of free motion, two position measurements x_1 and x_2 at t_1 and t_2 permit a retrospective reconstruction of the momentum in both classical and quantum mechanics with arbitrary accuracy. The difference lies elsewhere. Classically, $x_1, x_2, x_3, \dots$ can all be cumulatively exploited to improve one’s estimate of a single trajectory and hence of its position and velocity at arbitrary times. Quantum mechanically, by contrast, each new position measurement with accuracy Δx_0 introduces a new momentum uncertainty, which in turn produces an uncertainty in the subsequent position,

$$\Delta x_{n+1} \sim \frac{h}{m\Delta x_0} (t_{n+1} - t_n).$$

Each later position measurement therefore “destroys the usefulness of all earlier position measurements within this margin of error”.

regarded this prohibition as contrary to common sense (Popper, 1982, 150): if I can freely choose to determine either your weight or your height with certainty without disturbing you, then it is unreasonable to deny that you possess both simultaneously (Popper, 1982, 150). Popper’s choice of a counterexample is somewhat careless: height and weight can be measured within the same experimental arrangement in a single run, whereas in quantum mechanics, position and momentum cannot. Nevertheless, it reveals that Popper had come to realize that the question of the reality of past trajectories ultimately boils down to the relationship between experimental arrangements (Howard, 2012, 54).¹⁹ . In retrospect, this issue lay behind the Hermann-Popper debate all along. As Popper put it, testing a statistical theory requires ‘*retrodictions*’. He equated retrodictions with reconstructions of particle ‘*trajectories*’: trajectories must be real, and particles must therefore possess simultaneous position and momentum *despite* the mutual exclusivity of the corresponding experimental arrangements. Hermann identified the questionable premise on which this argument rests. Retrodictions are indeed indispensable for testing quantum mechanics, but they reconstruct ‘*measurement*’ processes: *because* the corresponding experimental arrangements are mutually exclusive, particles cannot be assigned simultaneous position and momentum, and trajectories are therefore meaningless. Hermann recognized that the controversy over reconstructed trajectories, that had dominated the early debate on quantum mechanics among philosophers, was a special case of a deeper problem concerning the compatibility of determinations belonging to mutually exclusive experimental contexts. This was the problem that the EPR debate would place at the center of discussions of quantum mechanics only a few weeks after the publication of Hermann’s 1935 article. This is what Popper himself might have understood after receiving Einstein’s letter. Indeed, when he returned to quantum mechanics decades later, EPR provided him with a new argument for precisely the compatibility Hermann had denied.

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¹⁹See also Feyerabend, 1968, 1969 for a criticism of Popper’s attitude toward trajectories and Ballentine, 1970, 366–67 for a defense.

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